



AGW Capital Advisors Privacy Statement

In establishing an advisory account with AGW Capital Advisors (“AGW”), it is necessary that you provide AGW with certain personal financial information necessary to manage your account in a manner consistent with your investment objectives and/or personal financial goals.

Types of Nonpublic Personal Information We Collect

AGW collects nonpublic personal information about you that is provided by you or obtained by AGW with your authorization. AGW may collect nonpublic personal information about you from the following sources:

- Information AGW receives from you, and
- Information AGW receives about you from third parties that may be providing services to you.

Parties to Whom We Disclose Information

As stated in our client agreement, except as required by law or requested or compelled by regulatory or other governmental authorities, (a) AGW agrees to maintain in strict confidence all personal and financial information regarding Client that is furnished to AGW or by Client (except that Client consents to disclosure of Client’s identity as a client of AGW) and (b) Client agrees to maintain in strict confidence all investment advice and information furnished to Client by AGW. AGW will not release Client information to any third party without Client’s prior consent and/or agreed to within AGW’s *Diminished Capacity Policy* (with the exception of third-party consultants, advisors, contractors, vendors or members and staff of AGW, whose use of or access to such Client information is reasonably necessary to the performance of the services and who agree to maintain the confidentiality of such Client information). Federal law allows you the right to limit the sharing of your nonpublic personal information. Please contact us immediately at the phone number below if you choose to limit the sharing of your nonpublic personal information beyond what is stated here.

Mobile information will not be shared with third parties/affiliates for marketing/promotional purposes. All the above (or below) categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties.

Protecting the Confidentiality and Security of Clients’ Information

AGW retains records related to the professional services that we provide so that we are better able to assist you with your needs. AGW maintains physical, electronic, and procedural safeguards to guard your non-public personal information. If you decide to close your account(s) with AGW or become an inactive customer, AGW will adhere to the privacy policies and practices as described in this notice.

Should you have any questions regarding our Privacy Policy, please call our Chief Compliance Officer at 813.254.4700