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NEWS RELEASE

INVESTMENT ADVISOR IS CERTIFIED FOR FIDUCIARY EXCELLENCE

PITTSBURGH, April 11, 2019 – CEFEX, the Centre for Fiduciary Excellence, LLC, an Fi360[®], Inc., company, has certified, AGW Capital Advisors in Tampa, FL to the standard described in the handbook “Prudent Practices[®] for Investment Advisors”. AGW Capital Advisors (AGW) joins the elite group of Investment Advisors to successfully complete the independent certification process.

The standard describes how an Investment Advisor assumes the responsibility for managing a client’s overall investment management process, which includes the selection, monitoring and de-selection of investment managers, as well as developing processes to implement investment strategies and fiduciary practices on an ongoing basis. According to the General Manager of the Centre for Fiduciary Excellence, Carlos Panksep, “Through CEFEX’s independent assessment, the certification provides assurance to retail and institutional investors, that AGW has demonstrated adherence to the industry’s best fiduciary practices. This indicates the firm’s interests are aligned with those of investors.”

AGW Capital Advisors is certified for investment advisory and fiduciary services provided to private clients, defined contribution plans, defined benefit plans, foundations, endowments and family offices. AGW is registered at www.cefex.org, where its certificate can also be viewed.

Jay Annis, Co-founder and Principal of AGW Capital Advisors said, “While AGW has been advising our clients in a fiduciary capacity since the firm’s inception over 10 years ago, we felt it was prudent to obtain an independent global assessment from CEFEX, Centre for Fiduciary Excellence, to further verify that our investment advisory processes were sound and transparent. By successfully becoming a CEFEX-certified investment advisory firm, AGW has further demonstrated that we adhere to the Global Fiduciary Standard of Excellence and that our advice is in the best interest of our clients.”

The standard is substantiated by legislation, case law and regulatory opinion letters from the Employee Retirement Income Security Act (ERISA), the Investment Advisers Act of 1940, the Uniform Prudent Investor Act (UPIA), the Uniform Prudent Management of Institutional Funds Act (UPMIFA) and the Uniform Management of Public Employee Retirement Systems Act (UMPERSA) in the U.S.

A full copy of the standard can be downloaded from CEFEX at www.cefex.org and a summary can be viewed by clicking on AGW’s on-line CEFEX certificate. More information about AGW Capital Advisors is available at www.agwcapital.com.

About CEFEX[®]:

CEFEX, Centre for Fiduciary Excellence, LLC, an Fi360[®] company, is an independent certification organization. CEFEX works closely with industry experts to provide comprehensive assessment programs to improve the fiduciary practices of investment stewards, advisors, recordkeepers, administrators and managers. CEFEX is based in Pittsburgh, PA. Connect to CEFEX via [Twitter](#) or [LinkedIn](#).

About Fi360[®]:

Fi360, a fiduciary education, training and technology company, helps financial intermediaries use prudent fiduciary practices to profitably gather, grow and protect investors' assets. Since 1999, the firm has provided financial professionals with the tools necessary to act as a fiduciary in their work with investors. Headquartered in Pittsburgh, PA, Fi360 is the home of the Accredited Investment Fiduciary® (AIF®) designation, the Fiduciary Focus Toolkit™ and the Fi360 Fiduciary Score®. Fi360 is also the parent company of CEFEX. Learn more at www.Fi360.com via [Twitter](#) or on [LinkedIn](#)
